



GURU GOBIND SINGH INDRAPRASTHA UNIVERSITY

Ph.D ORDINANCE-12

("Governing Programme Leading to Ph.D Degree of Doctor of Philosophy")

&

REGULATIONS

2012

(RESEARCH & CONSULTANCY)

(Passed in 48th Meeting of BOM dated 29th November, 2011 and the 30th meeting of Academic Council dated 25th November, 2011)

ORDINANCE 12 :

GOVERNING PROGRAMMES LEADING TO
DEGREE OF DOCTOR OF PHILOSOPHY

APPLICABILITY: This Ordinance shall apply to all programmes leading to the degree of Doctor of Philosophy.

1.0 DEFINITIONS

- 1.1 **"Affiliated Colleges/ Institution"** shall mean an institution of higher learning affiliated by the University and approved for carrying out the research work.
- 1.2 **"Approved Research Centre/Institute"** shall mean an Approved Research Centre/Institute of the University offering Doctoral/Post Doctoral research work and approved for carrying out the research work.
- 1.3 **"BOS"** shall mean the Board of Studies of the School concerned.
- 1.4 **"College/Institute"** shall mean an academic institution maintained or admitted by the University to its privileges and includes an affiliated college/institute.
- 1.5 **"Caretaker Supervisor"** shall mean a member of the academic staff appointed to act as the candidate's supervisor in the absence of the Supervisor before and/or after submission of the thesis.
- 1.6 **"COE"** shall mean Controller of Examinations of the University.
- 1.7 **"Degree"** shall mean the degree of Doctor of Philosophy (Ph.D.) of the University.
- 1.8 **"Research Scholar"** shall mean a person registered for the Ph.D and devoting adequate time for completing the requirements of this degree.
- 1.9 **"Joint Supervisor"** shall mean a member of the academic staff of the University/other outside staff, other than the Supervisor, and approved by BOS on the recommendation of the SRC to guide/supervise the research work of the scholar and duly approved by Vice Chancellor.
- 1.10 **"Minimum Registration Period"** shall mean the minimum period for which a candidate must be registered, prior to the date of submission of the thesis.
- 1.11 **"Ph.D."** shall mean the degree of Doctor of Philosophy.
- 1.12 **"RDC"** shall mean Research Degree Committee, and shall consist of Vice-Chancellor, Dean of the concerned School, Controller of Examinations, and two Professors of the University other than the Supervisor/Joint Supervisor of the candidate to be nominated by the Vice-Chancellor. The Vice-Chancellor shall be the Chairman of the Committee.
- 1.13 **"Registration Period"** shall mean the length of period commencing with the date of preliminary registration at the University and ending on the date of submission of the thesis, counting out any gaps.
- 1.14 **"Supervisor"** shall mean a member of the academic staff of the University/other recognized/ outside staff approved by BOS on the recommendation of SRC to guide/supervise the research work of the scholar and duly approved by Vice

Chancellor.

- 1.15 "SRC" shall mean a School Research Committee consisting of Dean of the concerned School as Chairman, three Professors of the concerned School by rotation in order of seniority (for one year), one Associate Professor and one Assistant Professor by rotation in order of seniority (for one year). Proposed Supervisor(s) and existing Supervisor(s) may be invited members. All must be approved supervisor(s).

The School may have more than one SRC depending upon the spread of specialization. In case, the School has more than one SRC, the same shall be constituted by the Vice Chancellor in consultation with the Dean of the school (or his nominee) as Chairman, Supervisor(s) and remaining members from amongst senior teachers of the University/ Approved Research centres / Affiliated colleges/ External experts in such a manner so that at least two members are common in all the SRC of the school.

- 1.16 "University" shall mean Guru Gobind Singh Indraprastha University, Delhi.
- 1.17 "DRC" shall mean Director of Research & Consultancy of the University.
- 1.18 "**Foreign Research Scholar**" shall mean Foreign Nationals registered for the Ph.D and devoting adequate time for completing the requirements of this degree.

Note: In this Ordinance where-ever 'He' and 'His' occurs, these shall mean to imply 'he/she' and 'his/her' respectively.

- 2.0 The University shall provide for studies and research leading to award of degree of Doctor of Philosophy.

The programmes shall be conducted through the Schools of the University.

Subject to the guidance of the Academic Council and control of the concerned School, research studies for Ph.D. shall be organized by the Board of Studies of the concerned School.

- 3.0 A Research Scholar shall be required to pursue his research work under the guidance of approved supervisor(s). The core courses have to be conducted by the University for all the USS/ Affiliated Institutions/ Approved Research Centre(s) and the Directed Course Work specified by the SRC/BOS at the University Schools /affiliated institutions/ University Approved Research Centers. If the Affiliated Colleges/ University Approved Research Centers cannot conduct course work at their premises, students shall be required to complete the course work at the concerned University Schools. On the recommendation of the SRC, BOS may permit the research scholar to work at his place of employment provided adequate research facilities are available there upto the entire satisfaction of SRC and BOS. In the absence of such facilities the student will have to work at the University.

4.0 ADMISSION ELIGIBILITY

- 4.1 An applicant possessing any one of the following qualifications shall be eligible to apply for admission to a Ph.D. programme of the University.

- 4.1.1 A Master's degree in Engineering/ Technology/ Science/Architecture/ Humanities/ Social Sciences/Commerce/Medicine/ Law/Education/ Pharmacy/ Management/ Mass Communication of a recognized Indian University, or a post graduate degree approved by Association of Indian Universities/AICTE/ UGC/ MCI/ Bar Council/ Pharmacy Council etc., or any other equivalent qualification to the satisfaction of Academic Council of the University duly approved by equivalence committee of the University, in the relevant field, with not less than 60% marks in aggregate.
- 4.1.2 Applicants with a Bachelor's degree in Engineering/Technology with either 75% or more marks in aggregate and a minimum of three years, or 60% or more marks in aggregate and a minimum of fifteen years, relevant experiences in recognized Institute/ University/ Industry/ Government Organization, may be considered eligible for admission, on the recommendation of SRC.
- 4.1.3 Applicants with MBBS Degree with either 60% or more marks in aggregate and a minimum of three years or 50% or more marks in aggregate and a minimum of 15 years relevant experience in Recognized Govt. Hospital/ Organization may be considered eligible for admission on the recommendation of SRC.
- 4.1.4 For applicants belonging to SC/ST category and/or physically handicapped applicants, a relaxation of 5% in marks shall be admissible under eligibility conditions prescribed under sub-clauses 4.1.1, 4.1.2 & 4.1.3.
- 4.1.5 Teachers working in any University or its affiliated colleges and having a teaching/research/other relevant experience of not less than 3 years may be allowed a relaxation of 5% marks under sub- clause 4.1.1.
- Provided that out of the two relaxations stipulated under sub-clauses 4.1.4 and 4.1.5, only one relaxation is permissible for an applicant
- 4.1.6 Perspective Research Candidate generally shall not have completed an age of 50 years at the time of submission of application for registration for Ph.D. A relaxation in the prescribed age beyond 50 years can, however, be recommended by the SRC/BOS recording appropriate justification for the approval by the Vice-Chancellor.
- 4.1.7 (i) All the applicants shall have to appear for RAT examination except Foreign Nationals. Candidates may be provisionally registered if they are selected through the RAT entrance test followed by an interview by a sub-committee comprising of atleast 5 members to be chosen from the concerned SRC/BOS, constituted by the Vice- Chancellor and the registration shall be confirmed after satisfactory completion of the course work and approval of the research plan on the basis of its presentation before the respective SRC/BOS.
- (ii) The Research Scholars shall be registered under the category of Full-Time/ Part-Time (for employed candidates only) Research Scholars.

Note: The minimum period of Residency requirement shall be twelve months.

(iii) Applicants of Foreign Nationals can also be considered for registration as Research Scholar provided :

- a. The candidate shall apply for admission to the Ph.D Programme on a prescribed proforma to Director International Affairs (Once in a year) alongwith the prescribed application fee as given in regulations. Attached to the application shall be translated and attested copies of certificates, passport and Synopsis.
- b. Foreign Nationals shall be exempted from the entrance test for Ph.D Programme.
- c. The application of Foreign Nationals shall be accepted on the basis of their synopsis submitted with the application form. If the synopsis is not found suitable by the concerned school, the application shall be rejected.
- d. Candidate should be interviewed by the concerned Sub- Committee, comprising of atleast 5 members to be chosen from the concerned SRC/BOS, constituted by the Vice-Chancellor and their registration shall be confirmed after satisfactory completion of the prescribed course work and approval of research plan on the basis of its presentation by the respective SRC and BOS.
- e. All Foreign Nationals admitted to the Ph.D Programme shall have to complete the course work offered by the University.

5. PROVISIONAL REGISTRATION

- 5.1 Depending upon the requirement, the University may advertise for Ph.D admission twice in a year.
- 5.2 Applications for joining the Ph.D. programme shall be submitted on a prescribed Form with a tentative topic for Doctoral Research in the DRC office.
- 5.3 The applicants who fulfill the eligibility criteria as laid down in clause 4 shall appear for RAT entrance examination (Compulsory for all the applicants except Foreign Nationals) followed by an interview by a Sub-Committee, comprising of at least 5 members chosen from the concerned SRC/BOS, Constituted by the Vice-Chancellor for selection and appropriate recommendations regarding such candidates to the concerned School.
- 5.4 If the candidate is selected by the aforesaid Sub-Committee and approved by SRC/BOS the scholar shall be allowed provisional registration from the date of payment of prescribed fees to the University with the allocated approved supervisor(s) by the respective school.

6.0 RESEARCH PLAN

- 6.1 Each scholar who has a provisional registration, after the successful completion of the required course work shall be required to make a presentation of his Research Plan before the SRC and the SRC shall test the comprehension of his broad field of research, academic preparation and potential to carry out the proposed research.
- 6.2 On the basis of the content of the Research Plan and the presentation, the SRC shall either recommend the case for consideration and approval of Registration to the

concerned BOS, or, may ask the scholar to make a fresh presentation of the Research Plan.

- 6.3 A scholar will ordinarily be allowed only two attempts for presentation before the SRC. In case the Research Plan of a scholar is not approved within the limitations prescribed in this clause, the **provisional** registration **may** be cancelled. A third attempt may be allowed only with the approval of the Vice-Chancellor on the recommendation of the BOS.
- 6.4 A research student/candidate must get his Research Plan approved within 24 months of his provisional registration, failing which the same may be cancelled.

7.0 REGISTRATION AS A CANDIDATE

- 7.1 After the approval of the Research Plan and the names of Supervisor(s) of a Research Scholar, the case shall be submitted **by the SRC** to the BOS for its consideration and approval within a maximum period of one month.

If an approval is not accorded by the BOS, then such a research student/candidate may submit his/her case for fresh approval on valid reasons duly approved by the Vice Chancellor, through the SRC, after presenting a fresh/modified Research Plan.

- 7.2 After the approval of the BOS, a Scholar shall be formally registered as a candidate with effect from the date on which the BOS accorded its approval, or, from any other date specified by the BOS.
- 7.3 A scholar shall be required to renew his Registration in the manner prescribed, every year, and pay the fees as prescribed by the University.

8.0 THESIS SUPERVISORS

- 8.1 Each scholar shall have a supervisor, duly approved by the University, as provided in clause-(8.2). Joint Supervisor(s) may only be made under special circumstances to be recommended by the SRC. Special circumstances such as multi/inter disciplinary research work, retiring/leaving supervisor etc.
- 8.2 (i) Any regular teacher of the University or a recognized teacher working in an affiliated college of the **University who holds a Ph.D degree/ MD Degree/ MS Degree** and has a teaching/research experience of not less than 3 years (after obtaining Ph.D) or 5 years (after obtaining MD/MS Degree) shall be eligible to be a supervisor or a joint supervisor in the respective University School of Studies. The teacher has to have established track record of research work with minimum of 08 published research articles (who holds Ph.D Degree) or 10 published research articles (who holds MS/MD Degree) to be counted as proposed in FADS in referred national/ international journals and is continuously involved in demonstrated research activities/ consultancy assignments/ technological advancement / carries to his /her credit registered patents.
- (ii) Any other scholar duly approved by the concerned BOS from GGS Indraprastha University **or** from its Approved Research Centre, another University, a public sector industry, or, other reputed established industry or institutions conducting research programmes shall also be eligible to be

appointed either as a supervisor or a joint supervisor, provided he/she holds a Ph.D Degree and has established record of research evinced through publications in standard referred journals with a minimum of 08 published research articles to be counted as proposed in FADS in referred national/ international journals and is continuously involved in demonstrated research activities/consultancy assignments/ technological advancement/ carries to his/her credit registered patents. Decisions of BOS in approving such type of Ph.D. Supervisors are to be approved by the Vice chancellor.

- (iii) All the Scholars should subsequently identify supervisor/and or joint supervisor from the University schools/ Affiliated Colleges/ Approved Research Centers (who must be a permanent staff member) who are willing to supervise the candidate and shall intimate the names to the concerned Dean of the School. It must be ensured that the supervisors have enough service in the organization and he/she will not attain superannuation within 3 years in the case of supervising Ph.D. candidate/ programme.
- (iv) The BOS, on the recommendation of the SRC, shall consider the names of the Supervisor/Joint Supervisor, and if it approves the same, these shall be appointed after obtaining approval of the Vice-Chancellor. If the names proposed by the applicant are not approved, the scholar may be asked to suggest other names, or, in exceptional circumstances assigned by the Dean, with the concurrence of the scholar and the supervisor, and approval of the Vice-Chancellor.
- (v) A research scholar may request during the course of his research work for the change of the supervisor(s) to the respective SRC/BOS. The SRC/BOS, under very special circumstances, may recommend for the change of supervisor(s) to the Vice Chancellor for approval.
- (vi) At any given time, a Professor shall not have more than 8 Ph.D. candidates, an Associate Professor more than 6 candidates, and an Assistant Professor more than 4 candidates registered under him, however, in such cases where teachers are retiring or leaving, the SRC and the BOS can relax the norm. In addition to that each approved supervisor(s) may also have a maximum of one at any given time Foreign Research Scholar. The School Research Committee can also appoint Supervisor/Joint Supervisor which will be reported to the Board of Studies (BOS) of that School. Joint registration (registration under more than one supervisor) shall be counted as half. **At any given time the number of Research Scholars in an affiliated college will not exceed to a maximum of twelve in an institute.** For the Supervisors as mentioned in (ii) above, the number of research scholars shall be decided by the SRC and to be approved by the Vice Chancellor.
- (vii) A seat shall deem to have been fallen vacant under any Supervisor only after the issuance of final notification by the Controller of Examination to the effect that a specific Research Scholar has been awarded the Ph.D. Degree **or 6 months from the date of submission of thesis whichever is earlier.**
- (viii) In cases where the Supervisor has three or lesser number of years before retirement, a Joint Supervisor shall be mandatory.
- (ix) A person who does not possess a Ph.D Degree/ **MD Degree/ MS Degree** shall not be eligible for appointment as Supervisor/Joint Supervisor.

- (x) The University Schools shall prepare the list of refereed national / international journals to facilitate the research scholars and the faculty members/ Supervisors/ Joint Supervisors.

The guidelines are prescribed in the concerning Regulations of the University.

9.0 CREDIT REQUIREMENT & PERFORMANCE MONITORING

- 9.1 Scholars, who are provisionally registered for the Ph.D. Degree will be required to take three courses equivalent to a minimum 9 credits ; however, the maximum number could be upto six courses equivalent to 18 credits as per the recommendations by the concerned SRC/ BOS. Each course will be of three hours instructions/studies per week. The courses may be prescribed from the existing M. Tech and /or pre-PhD. courses at the university. The course work should be completed in a period of two semesters not exceeding one academic year from the date of the provisional registration and the University shall conduct the examination. If a scholar is not able to pass a course with 50% marks, the student shall be allowed to reappear in the examination within 12 months as per the existing examination rules of the University. Scholars should be encouraged to take courses such as "Communication Skills", "Research Methodology", "Statistical methods for Analysis of Research/ Experimental Data", "Philosophy of Science" etc. Ph.D. scholars may be permitted to take courses in related and allied subjects being offered by other Schools of the University. The scholar shall be evaluated at the end of each semester.
- 9.2 The scholar may be permitted by the SRC, on the recommendation of the Supervisor(s), to be absent from university for ordinarily not more than 2 semesters on the ground that it is in the interest of her/his research.
- 9.3 Scholars be assigned participation in some academic work like assisting teaching of science practicals, checking assignments, etc. as per their fellowship scheme or as decided by the School Research Committee. This work should not be more than six hours a week. Those who are not getting any fellowship/scholarship may be paid as per the norms/rules on the subject as applicable from time to time in the University
- 9.4 Absence from research work by Ph.D. scholars due to illness, maternity leave or other circumstances must be reported by the Supervisor(s) to the SRC through the Dean/Head of the School. Neglect of research work or any other acts of indiscipline must be recorded and reported by the supervisor to the Dean for placing before the concerned SRC and to the Vice-Chancellor.
- 9.5 Every research scholar shall report on day to day basis to their respective supervisor. Cases of neglect of research work and indiscipline that include unethical practices such as plagiarism and misrepresentation of data and irregularity must be reported by the supervisor to the Dean for placing before the SRC, the BOS and the Vice-Chancellor for necessary action.
- 9.6 No Ph.D. scholar who is holding Fellowship / scholarship shall undertake an employment during the period of his / her study. In case any Research Scholar is selected and joins some employment in between the period of his/ her fellowship then he/she shall not remain eligible for availing the fellowship. However, he/she would be permitted to complete his Ph.D. provided he/she has already completed the

course work.

- 9.7 No scholar shall, without the permission of the Supervisor(s) and the SRC enroll for any other course of study which is not stipulated as an essential requirement for the Ph.D. programme by the School.
- 9.8 No scholar with fellowship/scholarship shall appear in any examination conducted by the University or a public body without prior permission of the Supervisor(s) and the Dean.
- 9.9 The academic research progress of each scholar will be monitored by SRC/BOS or by its sub-committee. For this purpose, each scholar will be asked to submit a progress report in six monthly interval to his/her supervisor(s). On receipt of the progress report the supervisors shall send to Dean to review with SRC for presentation by the individual scholar. "X" grade to be awarded during that semester if the progress is satisfactory. If the progress is unsatisfactory, then "U" grade is awarded and appropriate action taken. For obtaining "U" grade, a warning would be issued to the candidate. If there are two consecutive "U" grades then the registration may be cancelled /terminated.
- 9.10 The SRC after having considered the progress report of each scholar shall recommend one of the following:
- (i) Continuation of registration
 - (ii) Continuation of registration and issuance of a warning to the scholar and making recommendation in consultation with the supervisor(s), of steps necessary to improve his performance.
 - (iii) Termination of registration. If the scholar is issued a warning, the minimum registration period for allowing a scholar to submit the thesis shall be increased by one semester, every time a warning has been issued.

10.0 REGISTRATION PERIOD REQUIREMENTS

- 10.1 The minimum period of Registration after which a scholar can submit his thesis shall be two years from the date of final registration as a scholar. The period can be further increased as provided in clause 9.2.
- 10.2 A research scholar shall normally be allowed to submit his thesis within a maximum period of 4 years after final registration. However, in exceptional cases, this limit may be extended by the Vice-Chancellor by a maximum period of two years.

11.0 PRE-THESIS SUBMISSION PRESENTATION

- 11.1 A pre-thesis submission presentation by the scholar before the SRC is an essential requirement. On completion of the research work, the scholar shall submit to the SRC through his supervisor(s), 8 copies of the Summary of his research work including bibliography and make a presentation at which faculty members and other research scholar(s) of the concerned school may be present.
- 11.2 The scholar shall be required to submit his thesis within three months from the date of pre-thesis submission presentation by the scholar. However, in case a scholar

fails to submit his thesis within the stipulated time and has suitable justification for the same, the Dean of the School may, on recommendations of SRC grant an extension of not more than three months i.e., the scholar may be allowed to submit his thesis within a period not exceeding 6 months from the date of pre-thesis submission presentation.

- 11.3 The scholar will be required to submit a certificate duly signed by the Scholar, Supervisor(s) and counter signed by the Dean in the prescribed format that the work embodied in the thesis entitled "____" is original and has been carried out by the author and that it has not been submitted in full or in part for any other Diploma or Degree of this or any other University.

12.0 APPOINTMENT OF EXAMINERS

- 12.1.(i) A panel of at least six experts in the subject area of research work with preferably at least two experts from outside India would be suggested by the supervisor(s) and placed before the SRC for its recommendations. The SRC may delete any of the name(s) proposed by the supervisor(s) and/or add any names.
- 12.1.(ii) A person from the same laboratory(ies)/institutions where the scholar is employed cannot be appointed as an external examiner. Further a person from a laboratory/institution/ approved research centre to which the Supervisor and/or joint Supervisor of the scholar belongs, cannot be appointed as an external examiner.
- 12.2 On receipt of the title and synopsis of the thesis, the Dean shall send the panel of examiners as approved by the SRC to the COE and the Vice-Chancellor will appoint the Board of Examiners for the thesis. The Board shall consist of one internal examiner from amongst the supervisor(s), and two external examiners preferably one from outside India. The examiners may normally be chosen from the panel of examiners recommended by the SRC. **The Vice Chancellor may add any other name(s) in the panel, if necessary.**
- 12.3 In case one or more examiners so appointed decline to examine the thesis, another examiner shall be appointed out of the panel. In case the panel gets exhausted, SRC shall recommend additional names.

13.0 THESIS SUBMISSION

- 13.1 The thesis shall be a piece of research work characterized either by discovery of new facts or enunciation of a new theory or theories or by fresh interpretation of known facts. It should bear evidence of the scholar's capacity for analysis and judgment as well as his ability to carry out independent investigation, design or development. A thesis may be supplemented by published work if necessary. No part of the thesis or supplementary published work shall have been submitted for the award of any other diploma or degree.
- 13.2 The thesis shall be written in English or as a very very special case with due permission from the SRC and Vice Chancellor in Hindi in specified format in accordance with the Instructions contained in the regulations.
- 13.3 A scholar may submit his thesis within the time period as stipulated in Clause 10.0 of this Ordinance, provided he has:

- (i) Completed the minimum period of registration as provided in Clause 10.0.
- (ii) Has published minimum of 2 research papers in international (referred) journals. However, wherever it has been not possible to do so then, apart from publishing two research papers in referred national journals, this fact must be brought to the notice of SRC along with sufficient reasons to justify as to why the thesis should be accepted in the absence of two published research papers in international journals? And, this justification may be acceptable to both the SRC and the Vice-Chancellor of the University.

13.4 Three copies of the thesis in soft binding along with one copy on Electronic media for record must be submitted to the COE for evaluation. In case of a scholar being supervised by more than one supervisor, appropriate number of additional copies must be submitted. The scholar will also submit No Dues Certificate as per Annexure – XVI of the relevant regulation of the university.

14. EVALUATION

14.1 Evaluation of Thesis

- (i) Each examiner will be requested to submit to the COE, a detailed assessment report and his recommendations on a prescribed proforma within 3 months of the date of receiving the thesis.
- (ii) In the event that the assessment report is not received from an examiner within 4 months, the Vice-Chancellor may appoint another examiner from the panel of examiners for evaluating the thesis.
- (iii) The examiners shall be required to state categorically whether in their individual opinions, the thesis should be:
 - a) accepted for the award of Ph.D. degree, or
 - b) referred to the scholar for presentation in the revised form, or
 - c) rejected.

The examiner shall state the reasons for recommending resubmission/rejection of the thesis. If resubmission is recommended, the examiner shall specifically indicate the modifications that need to be made in the thesis by the scholar.

- (iv) On receipt of reports from all the examiners, these will be placed before the Research Degree Committee. The RDC shall peruse the reports and advise one of the following:
 - a) If the examiners are unanimous that the thesis be accepted for award of the degree, the scholar be required to appear for oral defence.
 - b) If the examiners are unanimous that the thesis be rejected or that the thesis be submitted in a revised form the result be declared accordingly, or the scholar be informed to submit the thesis in a revised form.
 - c) If there is no unanimity between examiners, an additional external expert shall be appointed as examiner to examine the thesis. The report of the

additional examiner, along with all the earlier reports shall be considered by the RDC, and a recommendation made either to accept the thesis for award of degree or reject the same.

- (v) In the event of the scholar being required to submit a revised thesis, he/she shall, submit the same within a period of one year from date of communication in this regard from the COE. However, in exceptional circumstances this period may be extended by BOS by one more year but the total revision time will not exceed two years. The revised thesis shall be sent for assessment to the original panel of examiners. In the event of one or more original external examiners not being available, an additional external examiner may be appointed.

14.2 Oral Defence

- (i) A scholar, whose thesis is recommended for acceptance in accordance with provision of sub-clause 14.1(iv) on the basis of thesis evaluation, shall be required to defend his work/thesis orally before a duly constituted committee, hereinafter referred to as Oral Defence Committee (ODC), during working hours of the University at the University premises. Any deviation from this should have prior permission of Vice-Chancellor.
- (ii) ODC shall consist of the Dean of the concerned School, the supervisor(s), and one external examiner to be appointed out of the external examiners by Vice-Chancellor. If none of the external examiners is available for the conduct of the oral defence, an alternative external examiner shall be appointed by Vice-Chancellor for this purpose only. The external examiner invited for oral examination shall submit his report in the prescribed proforma to the COE.
- (iii) On the completion of all the stages of examination, COE shall recommend to RDC to approve one of the following:
 - (a) that the degree be awarded,
 - (b) that the scholar be re-examined at a later specified time in a specified manner,
 - (c) that the degree not be awarded,

In case of 14.2 (iii), the COE in consultation with Dean shall also provide to the scholar a list of all corrections and modifications required in the thesis, including suggestions made by the examiners during the thesis evaluation. The scholar shall thereafter submit two hard-bound copies of the thesis, incorporating all necessary corrections/modifications.

15.0 AWARD OF DEGREE

15.1 The Degree shall be awarded by the University provided that:

- i) RDC so approves,
- ii) The scholar produces a 'No Dues Certificate' in the prescribed form (Annexure-XVI) of the relevant regulation of the university.
- iii) The scholar has submitted two hard bound copies of the thesis; one for the

School Library and one for the Central Library. These should incorporate all necessary corrections/modifications.

- 15.2 Hard bound copies and CD ROM of the Ph.D. thesis, submitted after the oral defence examination, must contain the following copyright certificate in the beginning of the thesis, on a separate page on the left side:

Guru Gobind Singh Indraprastha University, Dwarka Campus , Delhi-110 075.

All rights reserved

16.0 LEAVE AND ATTENDANCE

- 16.1 A scholar will be entitled to avail leave as per Leave Rules/Attendance Rules formulated and amended from time to time by the Academic Council.

17.0 CANCELLATION OF REGISTRATION

- 17.1 Registration of a scholar shall be cancelled in any one of the following eventualities, after due approval of Vice-Chancellor:

- (i) If he absents himself for a continuous period of six weeks without prior intimation/sanction of leave.
- (ii) If he resigns from the Ph.D. programme and the resignation is duly recommended by SRC.
- (iii) If he fails to renew his registration in terms of submission of fees/ successfully completion of course work/ satisfactory monitoring of progress reports in every year subject to the provisions contained in these Ordinances/ Regulations.
- (iv) If his academic progress is found unsatisfactory in terms of Clause 9 of this Ordinance.
- (v) If he is found to be involved in an act of misconduct and/or indiscipline and termination is recommended by the School Research Committee or any other authority authorized by the Academic Council.

- 18.0 Notwithstanding anything contained in these Ordinances, all categories of scholar shall be governed by the rules and procedures framed by the Academic Council on this behalf, and in force from time to time.

- 19.0 Notwithstanding anything stated in this Ordinance, for any unforeseen issues arising, not covered by this Ordinance, or in the event of differences of interpretation, the Vice- Chancellor may take a decision, after obtaining if necessary the opinion/advice of a Committee consisting of any or all the Deans of the Schools. The decision of the Vice- Chancellor shall be final.

20. GGSIP University Doctoral & Post-Doctoral Fellowships.

- ◆ The remuneration/fellowship amount for Doctoral & Post-Doctoral fellowship would be as per GGSIPU Research Fellowship regulations issued from time to time.

The above revised Ordinance 12 shall come into force w.e.f. the date of approval of the Board of Management. i.e., 29th November, 2011.

BOM Resolution – 17th meeting dated 23.12.2004 and 25th meeting dated 23.12.2004

Gazette Notification No. F.2(29)/Ord/IPU/DRP/2005/2431 dated 10.03.2005

BOM resolution : 35.5 (B) dated 05.06.2007

Gazette Notification No.F.2(29)/Ord/IPU/DRP/2009/8944 dated 24th Sept., 2008
[Partial Amendment in Clause 1(xvii) , 8(a), and 8(b)(vi)]

BOM resolution : 41.06 dated 29.06.2009

Gazette Notification No.F.2(29)/Ord/IPU/ADRP/2009/36 dated 08th Jan., 2010
(Revision of the Ordinance)

BOM resolution : 48.06 dated 29.11.2011

Under the process of Gazette Notification

(Ordinance 12 revised in suppression of Gazette Notification dated 08th Jan., 2010)